

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | | |
|--|---|--|
| 1. Name and Address of Reporting Person* <u>Rosalind Advisors, Inc.</u> <hr/> (Last) (First) (Middle) 15 WELLESLEY STREET WEST SUITE 326 <hr/> (Street) TORONTO A6 M4Y 0G7 <hr/> (City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol <u>DEL CATH SYSTEMS, INC. [DCTH]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (specify below) |
| 3. Date of Earliest Transaction (Month/Day/Year) 04/18/2024 | | 6. Individual or Joint/Group Filing (Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person |
| 4. If Amendment, Date of Original Filed (Month/Day/Year) 05/16/2024 | | |
| Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|--------|---|--|--|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Common Shares | 04/18/2024 | | X | | 619,946 ⁽¹⁾ | A | \$0.01 | 2,763,468 | I | By Rosalind Advisors Inc., the advisor to the Rosalind Master Funds ⁽²⁾ |
| Common Shares | 04/18/2024 | | C | | 991,615 ⁽³⁾ | A | \$0 | 2,763,468 | I | By Rosalind Advisors Inc., the advisor to the Rosalind Master Funds ⁽²⁾ |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|---|--|--|---|--|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | | | | | |

1. Name and Address of Reporting Person*
Rosalind Advisors, Inc.

 (Last) (First) (Middle)
 15 WELLESLEY STREET WEST
 SUITE 326

 (Street)
 TORONTO A6 M4Y 0G7

 (City) (State) (Zip)

1. Name and Address of Reporting Person*

SALAMON STEVEN A J

(Last) (First) (Middle)
15 WELLESLEY STREET WEST, SUITE 326

(Street)
TORONTO A6 M4Y 0G7

(City) (State) (Zip)

1. Name and Address of Reporting Person*

Aharon Gil

(Last) (First) (Middle)
15 WELLESLEY STREET WEST
SUITE 326

(Street)
TORONTO A6 M4Y 0G7

(City) (State) (Zip)

Explanation of Responses:

- 1. 619,946 common shares acquired via exercise of pre-funded warrants at \$0.01
- 2. Each Reporting Persons disclaims beneficial ownership over the shares except to the extent of his or its respective pecuniary interest therein
- 3. 991,615 Common shares are acquired via conversion of 1,065 F-2 preferred shares and 3,010 F-3 preferred shares

Steven Salamon 05/16/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.