SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	34
or Section 30(h) of the Investment Company Act of 1940	

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1. Name and Address of Reporting Person [*] <u>Aharon Gil</u>	2. Date of Requiring (Month/Da 05/05/20	Statement ıy/Year)	Atement Year) DELCATH SYSTEMS, INC. [DCTH]					
(Last) (First) (Middle) C/O ROSALIND ADVISORS, INC. 175 BLOOR STREET SUITE 1316, NORTH TOWER	_		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner Officer (give title below) X Other (specify below)			 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting 		
(Street) TORONTO A6 M4W 3R8			See Rema	arks		Person	by More than One Person	
(City) (State) (Zip)								
Т.	able I - No	n-Derivat	ive Securities Benefi	cially Ov	vned			
1. Title of Security (Instr. 4)						4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Shares			185,000 I F			By Individual as Officer of Rosalind Advisors, Inc., the advisor to the Rosalind Funds ⁽²⁾		
(e.g			e Securities Beneficia nts, options, convert					
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Derivative Security (Instr. 4)		4. Conversio or Exercis Price of		6. Nature of Indirect Beneficia Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security		5)	
Series E Convertible Preferred Stock	(1)	(1)	Common Shares	16,800	10	I	By Individual a Officer of Rosalind Advisors, Inc., the advisor to the Rosalind Funds ⁽²⁾	
Series E-1 Convertible Preferred Stock	(1)	(1)	Common Shares	2,875	10	I	By Individual a Officer of Rosalind Advisors, Inc., the advisor to the Rosalind Funds ⁽²⁾	
2019 Warrant	12/24/2019	12/24/2024	Common Shares	853,956	10	I	By Individual a Officer of Rosalind Advisors, Inc., the advisor to the Rosalind	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.			
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)			
2020 pre-funded warrants	05/05/2020	05/05/2025	Common Shares	215,000	0.01	I	By Individual as Officer of Rosalind Advisors, Inc., the advisor to the Rosalind Funds ⁽²⁾			
Series F Warrant	05/05/2020	05/05/2025	Common Shares	400,000	10	I	By Individual as Officer of Rosalind Advisors, Inc., the advisor to the Rosalind Funds ⁽²⁾			

Explanation of Responses:

1. Each share of Series E & E-1 Convertible Preferred Stock has no expiration date and a stated value of \$1,000 (the "Stated Value").

2. The securities are held by Rosalind Opportunities Fund I L.P. and Rosalind Master Fund L.P., collectively, the "Rosalind Funds".

Remarks:

Each Reporting Persons disclaims beneficial ownership over the shares except to the extent of his or its respective pecuniary interest therein.

/s/ Gil Aharon ** Signature of Reporting Person

05/13/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.